

**CRF Errors Corrected by the STIC Systems Branch**

**Serial Number:** 08/862610

CRF Processing Date: 7/30/97  
 Edited by: [Signature]  
 Verified by: [Signature] (STIC staff)

- ☐ Changed a file from non-ASCII to ASCII
- ☐ Changed the margins in cases where the sequence text was "wrapped" down to the next line.
- ☐ Edited a format error in the Current Application Data section, specifically: \_\_\_\_\_
- ☐ Edited the Current Application Data section with the actual current number. The number inputted by the applicant was ☐ the prior application data; or ☐ other 29 1997
- ☐ Added the mandatory heading and subheadings for "Current Application Data".
- ☐ Edited the "Number of Sequences" field. The applicant spelled out a number instead of using an integer.
- ☐ Changed the spelling of a mandatory field (the headings or subheadings), specifically: \_\_\_\_\_
- ☐ Corrected the SEQ ID NO when obviously incorrect. The sequence numbers that were edited were: \_\_\_\_\_
- ☐ Inserted or corrected a nucleic number at the end of a nucleic line. SEQ ID NO's edited: \_\_\_\_\_
- ☐ Corrected subheading placement. All responses must be on the same line as each subheading. If the applicant placed a response below the subheading, this was moved to its appropriate place.
- ☐ Inserted colons after headings/subheadings. Headings edited included: \_\_\_\_\_
- ☐ Deleted extra, invalid, headings used by an applicant, specifically: \_\_\_\_\_
- ☐ Deleted: ☐ non-ASCII "garbage" at the beginning/end of files; ☐ secretary initials/filename at end of file; ☐ page numbers throughout text; ☐ other invalid text, such as \_\_\_\_\_
- ☐ Inserted mandatory headings, specifically: \_\_\_\_\_
- ☐ Corrected an obvious error in the response, specifically: \_\_\_\_\_
- ☐ Edited identifiers where upper case is used but lower case is required, or vice versa.
- ☐ Corrected an error in the Number of Sequences field, specifically: \_\_\_\_\_
- ☐ A "Hard Page Break" code was inserted by the applicant. All occurrences had to be deleted.
- ☐ Deleted **ending** stop codon in amino acid sequences and adjusted the "(A)Length:" field accordingly (error due to a PatentIn bug). Sequences corrected: \_\_\_\_\_
- ☒ Other: Fig 6 - corrected (A) LENGTH: response  
- inserted hard return after 1st nucleic acid seq

**ENTERED**

**RECEIVED**  
 OCT 10 1997  
 GROUP 18

18x1  
9-(8-9)

**\*Examiner: The above corrections must be communicated to the applicant in the first Office Action. DO NOT send a copy of this form.**

RAW SEQUENCE LISTING  
PATENT APPLICATION US/08/862,610DATE: 07/30/97  
TIME: 13:59:47

INPUT SET: S19282.raw

This Raw Listing contains the General  
Information Section and up to the first 5 pages.

## SEQUENCE LISTING

1  
2  
3 (1) General Information:  
4  
5 (i) APPLICANT: Glenn Albrecht, Sydney Brenner, David H. Lloyd  
6  
7 (ii) TITLE OF INVENTION: Massively Parallel Signature Sequencing  
8 by Ligation of Encoded Adaptors  
9  
10 (iii) NUMBER OF SEQUENCES: 28  
11  
12 (iv) CORRESPONDENCE ADDRESS:  
13 (A) ADDRESSEE: Stephen C. Macevicz, Lynx Therapeutics, Inc.  
14 (B) STREET: 3832 Bay Center Place  
15 (C) CITY: Hayward  
16 (D) STATE: California  
17 (E) COUNTRY: USA  
18 (F) ZIP: 94545  
19  
20 (v) COMPUTER READABLE FORM:  
21 (A) MEDIUM TYPE: 3.5 inch diskette  
22 (B) COMPUTER: IBM compatible  
23 (C) OPERATING SYSTEM: Windows 3.1/DOS 5.0  
24 (D) SOFTWARE: Microsoft Word for Windows, vers. 2.0  
25  
26 (vi) CURRENT APPLICATION DATA:  
27 (A) APPLICATION NUMBER:  
28 (B) FILING DATE:  
29 (C) CLASSIFICATION:  
30  
31 (vii) PRIOR APPLICATION DATA:  
32 (A) APPLICATION NUMBER: 08/689,587  
33 (B) FILING DATE: 12-AUG-96  
34  
35 (vii) PRIOR APPLICATION DATA:  
36 (A) APPLICATION NUMBER: 08/659,453  
37 (B) FILING DATE: 06-JUN-96  
38  
39 (viii) ATTORNEY/AGENT INFORMATION:  
40 (A) NAME: Stephen C. Macevicz  
41 (B) REGISTRATION NUMBER: 30,285  
42 (C) REFERENCE/DOCKET NUMBER: 808-lus  
43  
44 (ix) TELECOMMUNICATION INFORMATION:  
45 (A) TELEPHONE: (510) 670-9365  
46 (B) TELEFAX: (510) 670-9302

ENTERED

REC  
1 OCT  
GRC

RAW SEQUENCE LISTING  
PATENT APPLICATION US/08/862,610DATE: 07/30/97  
TIME: 13:59:51

INPUT SET: S19282.raw

47  
48  
49 (2) INFORMATION FOR SEQ ID NO: 1:  
50  
51 (i) SEQUENCE CHARACTERISTICS:  
52 (A) LENGTH: 28 nucleotides  
53 (B) TYPE: nucleic acid  
54 (C) STRANDEDNESS: double  
55 (D) TOPOLOGY: linear  
56  
57 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:  
58  
59 TGGATTCTAG AGAGAGAGAG AGAGAGAG 28  
60  
61  
62 (2) INFORMATION FOR SEQ ID NO: 2:  
63  
64 (i) SEQUENCE CHARACTERISTICS:  
65 (A) LENGTH: 16 nucleotides  
66 (B) TYPE: nucleic acid  
67 (C) STRANDEDNESS: double  
68 (D) TOPOLOGY: linear  
69  
70 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:  
71  
72  
73 NNGGATGNNN NNNNNN 16  
74  
75  
76 (2) INFORMATION FOR SEQ ID NO: 3:  
77  
78 (i) SEQUENCE CHARACTERISTICS:  
79 (A) LENGTH: 11 nucleotides  
80 (B) TYPE: nucleic acid  
81 (C) STRANDEDNESS: single  
82 (D) TOPOLOGY: linear  
83  
84 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:  
85  
86  
87 NRRGATCYNN N 11  
88  
89  
90 (2) INFORMATION FOR SEQ ID NO: 4:  
91  
92 (i) SEQUENCE CHARACTERISTICS:  
93 (A) LENGTH: 20 nucleotides  
94 (B) TYPE: nucleic acid  
95 (C) STRANDEDNESS: double  
96 (D) TOPOLOGY: linear  
97  
98 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:  
99

RAW SEQUENCE LISTING  
PATENT APPLICATION US/08/862,610DATE: 07/30/97  
TIME: 13:59:54

INPUT SET: S19282.raw

```
100 AGTGGCTGGG CATCGGACCG                20
101
102 (2) INFORMATION FOR SEQ ID NO: 5:
103
104 (i) SEQUENCE CHARACTERISTICS:
105 (A) LENGTH: 20 nucleotides
106 (B) TYPE: nucleic acid
107 (C) STRANDEDNESS: double
108 (D) TOPOLOGY: linear
109
110 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 5:
111
112 GGGGCCCAGT CAGCGTCGAT                20
113
114
115 (2) INFORMATION FOR SEQ ID NO: 6:
116
117 (i) SEQUENCE CHARACTERISTICS:
118 (A) LENGTH: 70 nucleotides
119 (B) TYPE: nucleic acid
120 (C) STRANDEDNESS: double
121 (D) TOPOLOGY: linear
122
123 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:
124
125 AAAAGGAGGA GGCCTTGATA GAGAGGACCT GTTTAAACGT TTAAACGGAT    50
126 CCTCTTCTTC TTCTCTTCC                                70
127
128
129 (2) INFORMATION FOR SEQ ID NO: 7:
130
131 (i) SEQUENCE CHARACTERISTICS:
132 (A) LENGTH: 34 nucleotides
133 (B) TYPE: nucleic acid
134 (C) STRANDEDNESS: single
135 (D) TOPOLOGY: linear
136
137 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:
138
139 CTAAACCATT GGTATGGGCC AGTGAATTGT AATA                34
140
141
142 (2) INFORMATION FOR SEQ ID NO: 8:
143
144 (i) SEQUENCE CHARACTERISTICS:
145 (A) LENGTH: 55 nucleotides
146 (B) TYPE: nucleic acid
147 (C) STRANDEDNESS: single
148 (D) TOPOLOGY: linear
149
150 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:
151
152 CGCGCAGCCC GCATCGTTTA TGCTACAGAC TGTCAGTGCA            40
```

RAW SEQUENCE LISTING  
PATENT APPLICATION US/08/862,610DATE: 07/30/97  
TIME: 13:59:58

INPUT SET: S19282.raw

153 GCTCTCCGAT CCAAA 55  
154  
155 (2) INFORMATION FOR SEQ ID NO: 9:  
156  
157 (i) SEQUENCE CHARACTERISTICS:  
158 (A) LENGTH: 16 nucleotides  
159 (B) TYPE: nucleic acid  
160 (C) STRANDEDNESS: double  
161 (D) TOPOLOGY: linear  
162  
163 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:  
164  
165 TCGATGGGCT AGATT 16  
166  
167  
168 (2) INFORMATION FOR SEQ ID NO: 10:  
169  
170 (i) SEQUENCE CHARACTERISTICS:  
171 (A) LENGTH: 30 nucleotides  
172 (B) TYPE: nucleic acid  
173 (C) STRANDEDNESS: double  
174 (D) TOPOLOGY: linear  
175  
176 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10:  
177  
178 ANNNTACAGC TGCATCCCTT GGCCTGAGG 30  
179  
180 (2) INFORMATION FOR SEQ ID NO: 11:  
181  
182 (i) SEQUENCE CHARACTERISTICS:  
183 (A) LENGTH: 30 nucleotides  
184 (B) TYPE: nucleic acid  
185 (C) STRANDEDNESS: double  
186 (D) TOPOLOGY: linear  
187  
188 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 11:  
189  
190 NANNTACAGC TGCATCCCTG GGCCTGTAAG 30  
191  
192  
193 (2) INFORMATION FOR SEQ ID NO: 12:  
194  
195 (i) SEQUENCE CHARACTERISTICS:  
196 (A) LENGTH: 30 nucleotides  
197 (B) TYPE: nucleic acid  
198 (C) STRANDEDNESS: double  
199 (D) TOPOLOGY: linear  
200  
201 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:  
202  
203 CNNNTACAGC TGCATCCCTT GACGGGTCTC 30  
204  
205 (2) INFORMATION FOR SEQ ID NO: 13:

RAW SEQUENCE LISTING  
PATENT APPLICATION US/08/862,610DATE: 07/30/97  
TIME: 14:00:01

INPUT SET: S19282.raw

206  
207 (i) SEQUENCE CHARACTERISTICS:  
208 (A) LENGTH: 30 nucleotides  
209 (B) TYPE: nucleic acid  
210 (C) STRANDEDNESS: double  
211 (D) TOPOLOGY: linear  
212  
213 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 13:  
214  
215 NCNNTACAGC TGCATCCCTG CCCGCACAGT 30  
216  
217 (2) INFORMATION FOR SEQ ID NO: 14:  
218  
219 (i) SEQUENCE CHARACTERISTICS:  
220 (A) LENGTH: 30 nucleotides  
221 (B) TYPE: nucleic acid  
222 (C) STRANDEDNESS: double  
223 (D) TOPOLOGY: linear  
224  
225 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 14:  
226  
227 GNNNTACAGC TGCATCCCTT CGCCTCGGAC 30  
228  
229 (2) INFORMATION FOR SEQ ID NO: 15:  
230  
231 (i) SEQUENCE CHARACTERISTICS:  
232 (A) LENGTH: 30 nucleotides  
233 (B) TYPE: nucleic acid  
234 (C) STRANDEDNESS: double  
235 (D) TOPOLOGY: linear  
236  
237 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 15:  
238  
239 NGNNTACAGC TGCATCCCTG ATCCGCTAGC 30  
240  
241  
242 (2) INFORMATION FOR SEQ ID NO: 16:  
243  
244 (i) SEQUENCE CHARACTERISTICS:  
245 (A) LENGTH: 30 nucleotides  
246 (B) TYPE: nucleic acid  
247 (C) STRANDEDNESS: double  
248 (D) TOPOLOGY: linear  
249  
250 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 16:  
251  
252 TNNNTACAGC TGCATCCCTT CCGAACCCGC 30  
253  
254 (2) INFORMATION FOR SEQ ID NO: 17:  
255  
256 (i) SEQUENCE CHARACTERISTICS:  
257 (A) LENGTH: 30 nucleotides  
258 (B) TYPE: nucleic acid

RAW SEQUENCE LISTING  
PATENT APPLICATION US/08/862,610DATE: 07/30/97  
TIME: 14:00:05

INPUT SET: S19282.raw

## \*\*\*\*\* PREVIOUSLY ERRORED SEQUENCES - EDITED \*\*\*\*\*

115 (2) INFORMATION FOR SEQ ID NO: 6:

116

117 (i) SEQUENCE CHARACTERISTICS:

118 (A) LENGTH: 70 nucleotides

119 (B) TYPE: nucleic acid

120 (C) STRANDEDNESS: double

121 (D) TOPOLOGY: linear

122

123 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:

124

125 AAAAGGAGGA GGCCTTGATA GAGAGGACCT GTTTAAACGT TTAAACGGAT 50

126 CCTCTTCCTC TTCCTCTTCC 70

127

128

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PAGE: 1

**SEQUENCE VERIFICATION REPORT**  
**PATENT APPLICATION US/08/862,610**

DATE: 07/30/97  
TIME: 14:00:06

***INPUT SET: S19282.raw***

Line

Error

Original Text



RAW SEQUENCE LISTING  
PATENT APPLICATION US/08/862,610DATE: 07/30/97  
TIME: 10:31:16

INPUT SET: S19282.raw

This Raw Listing contains the General  
Information Section and those Sequences  
containing ERRORS.

Does Not Comply  
Corrected Diskette Needed

## SEQUENCE LISTING

1  
2  
3 (1) General Information:  
4  
5 (i) APPLICANT: Glenn Albrecht, Sydney Brenner, David H. Lloyd  
6  
7 (ii) TITLE OF INVENTION: Massively Parallel Signature Sequencing by Ligation of En  
8  
9 (iii) NUMBER OF SEQUENCES: 28  
10  
11 (iv) CORRESPONDENCE ADDRESS:  
12 (A) ADDRESSEE: Stephen C. Macevicz, Lynx Therapeutics, Inc.  
13 (B) STREET: 3832 Bay Center Place  
14 (C) CITY: Hayward  
15 (D) STATE: California  
16 (E) COUNTRY: USA  
17 (F) ZIP: 94545  
18  
19 (v) COMPUTER READABLE FORM:  
20 (A) MEDIUM TYPE: 3.5 inch diskette  
21 (B) COMPUTER: IBM compatible  
22 (C) OPERATING SYSTEM: Windows 3.1/DOS 5.0  
23 (D) SOFTWARE: Microsoft Word for Windows, vers. 2.0  
24  
25 (vi) CURRENT APPLICATION DATA:  
26 (A) APPLICATION NUMBER:  
27 (B) FILING DATE:  
28 (C) CLASSIFICATION:  
29  
30 (vii) PRIOR APPLICATION DATA:  
31 (A) APPLICATION NUMBER: 08/689,587  
32 (B) FILING DATE: 12-AUG-96  
33  
34 (vii) PRIOR APPLICATION DATA:  
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36 (B) FILING DATE: 06-JUN-96  
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42  
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44 (A) TELEPHONE: (510) 670-9365  
45 (B) TELEFAX: (510) 670-9302

RAW SEQUENCE LISTING  
PATENT APPLICATION US/08/862,610DATE: 07/30/97  
TIME: 10:31:18

INPUT SET: S19282.raw

46  
47

## ERRORED SEQUENCES FOLLOW:

114 (2) INFORMATION FOR SEQ ID NO: 6:  
115  
116 (i) SEQUENCE CHARACTERISTICS:  
--> 117 (A) LENGTH: 20 nucleotides 70 shown  
118 (B) TYPE: nucleic acid  
119 (C) STRANDEDNESS: double  
120 (D) TOPOLOGY: linear  
121  
122 (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:  
123  
124 AAAAGGAGGA GGCCTTGATA GAGAGGACCT GTTAAACGT TTAAACGGAT 50 CCTCTTCCTC TTCCTCTTCC  
125  
126

↓ insert hard return

---

PAGE: 1

**SEQUENCE VERIFICATION REPORT**  
**PATENT APPLICATION US/08/862,610**

DATE: 07/30/97  
TIME: 10:31:19

*INPUT SET: S19282.raw*

Line	Error	Original Text
117	Entered (20) and Calc. Seq. Length (0) differ	(A) LENGTH: 20 nucleotides